



Power-efficient Assignment of Virtual Machines to Physical Machines

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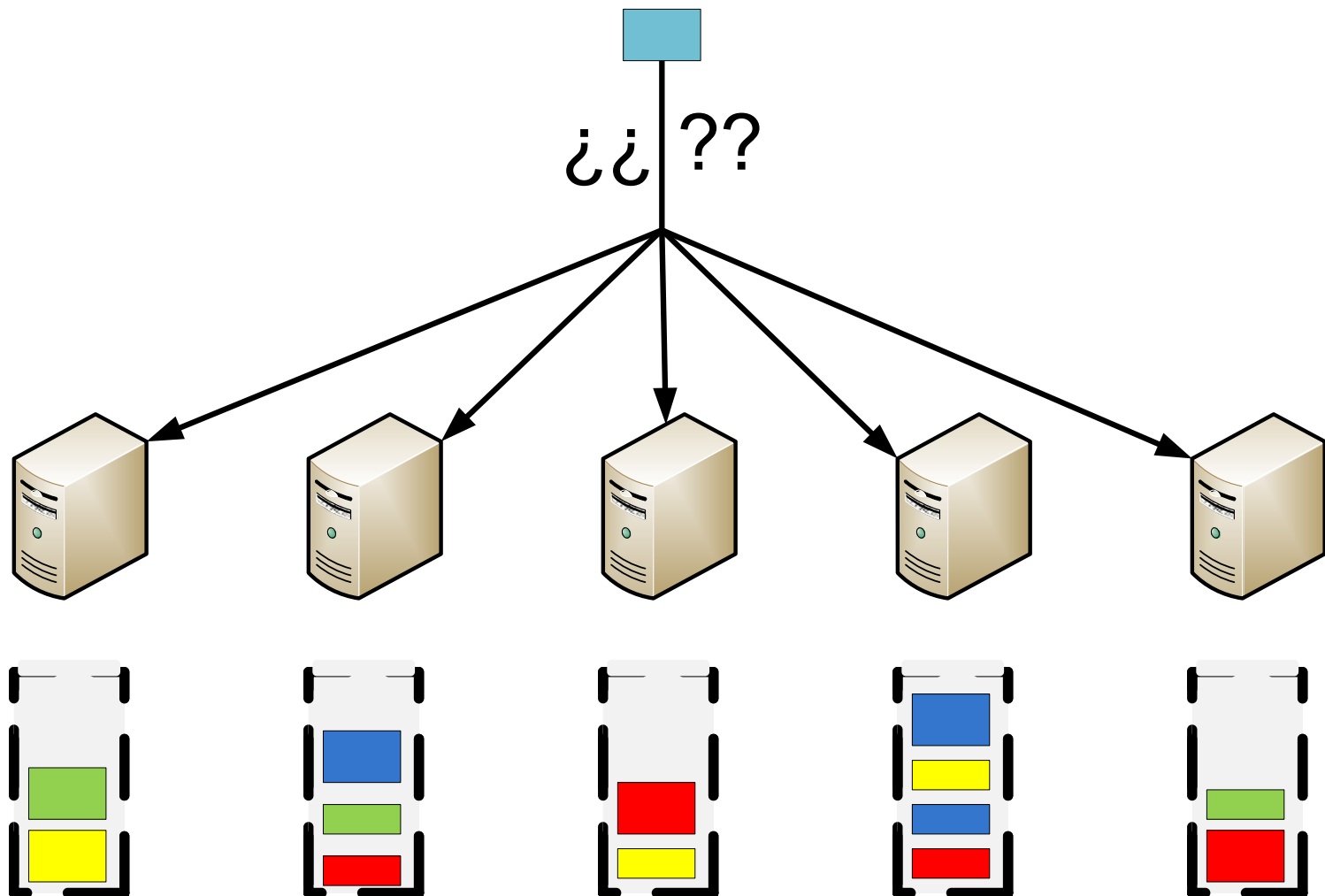
[Developing the
Science of Networks]

Virtual Machines and Physical Machines

- We consider a data center with m physical machines (PM)
- Virtual machines (VM) are executed in the PMs
- All PM are similar and have a capacity C
- The power consumed by a PM is a function of its load
- Each VM is permanently assigned to a PM
- Each VM d_i has a load $l(d_i)$
- No PM can be overloaded

Virtual Machine Assignment Problem (VMA)

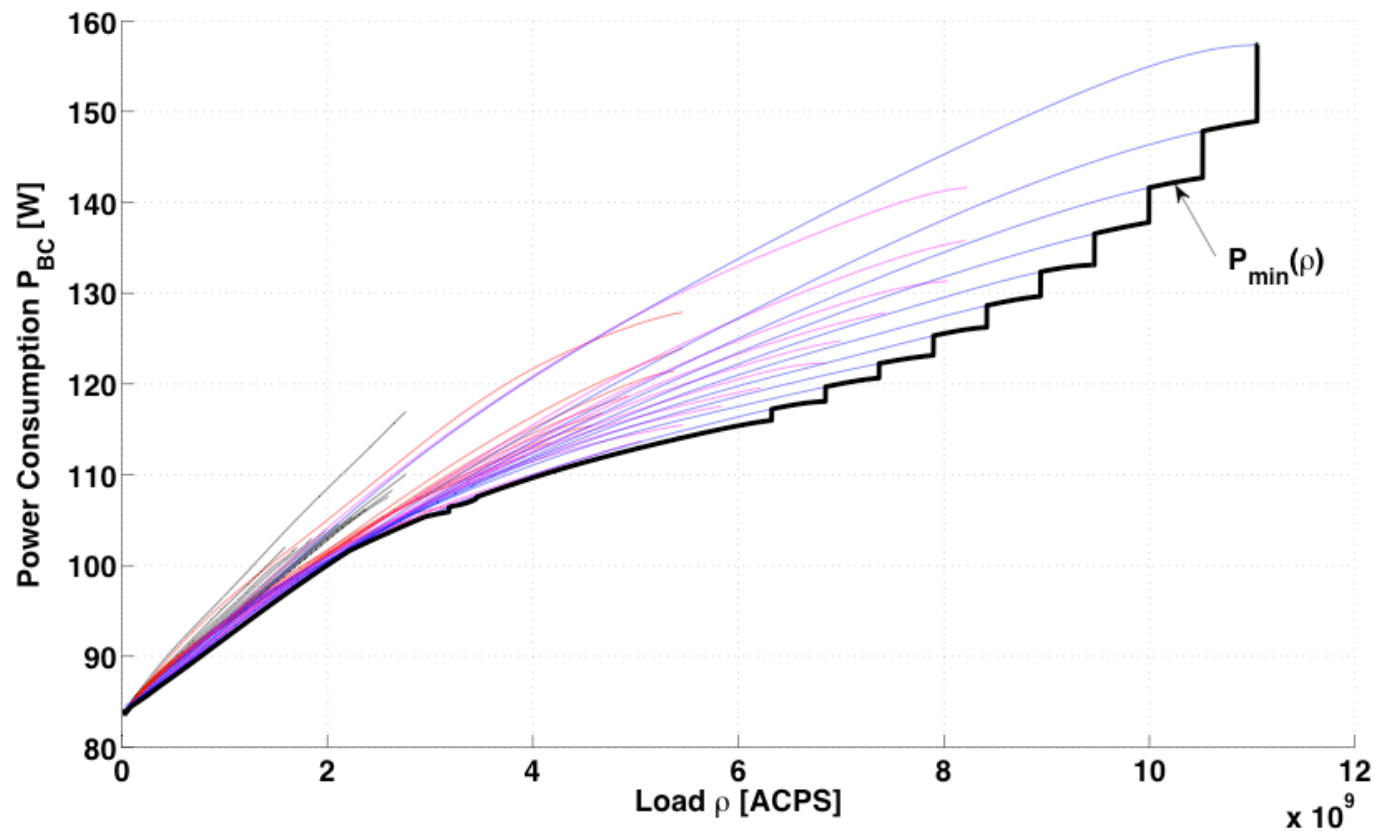
- Where to assign an incoming VM in a power-efficient way?



Power Consumption Model

- Power consumption of a PM

$$f(x) = \begin{cases} 0 & x = 0 \\ \mu x^\alpha + b & x > 0 \end{cases}$$



Objective Function

- Given the cost function

$$f(x) = \begin{cases} 0 & x = 0 \\ \mu x^\alpha + b & x > 0 \end{cases}$$

- We want to find a partition of the set of VMs that minimizes

$$P(\pi) = \sum_{i \in [1, m]} f(L_i(\pi))$$

- $L_i(\pi)$ is the aggregated load in the i th PM imposed by π
- $P(\pi)$ denotes the power consumed by the partition

Virtual Machine Assignment Problem (VMA)

- Variants of VMA:

(C, m) -VMA

Limited Capacity
Finite number of servers

(\cdot, m) -VMA

Unlimited Capacity
Finite number of servers

(C, \cdot) -VMA

Limited Capacity
Unbounded number of
servers

(\cdot, \cdot) -VMA

Unlimited Capacity
Unbounded number of
servers

- Each variant can be offline or online

Related Work

- Large body of work on consolidation, usually using different power consumption model or not considering energy at all.
- [Alon97, Alon98]: PTAS for the L_p norm problem with $p \geq 1$ and for other more general functions.
- [Epstein04]: Extension of [Alon97, Alon98] for the uniformly related machines case.
- [Srik08]: Energy efficient VMA is not a mere packing problem.

Optimal Load and Power

- There is an optimal load per PM and a corresponding optimal power

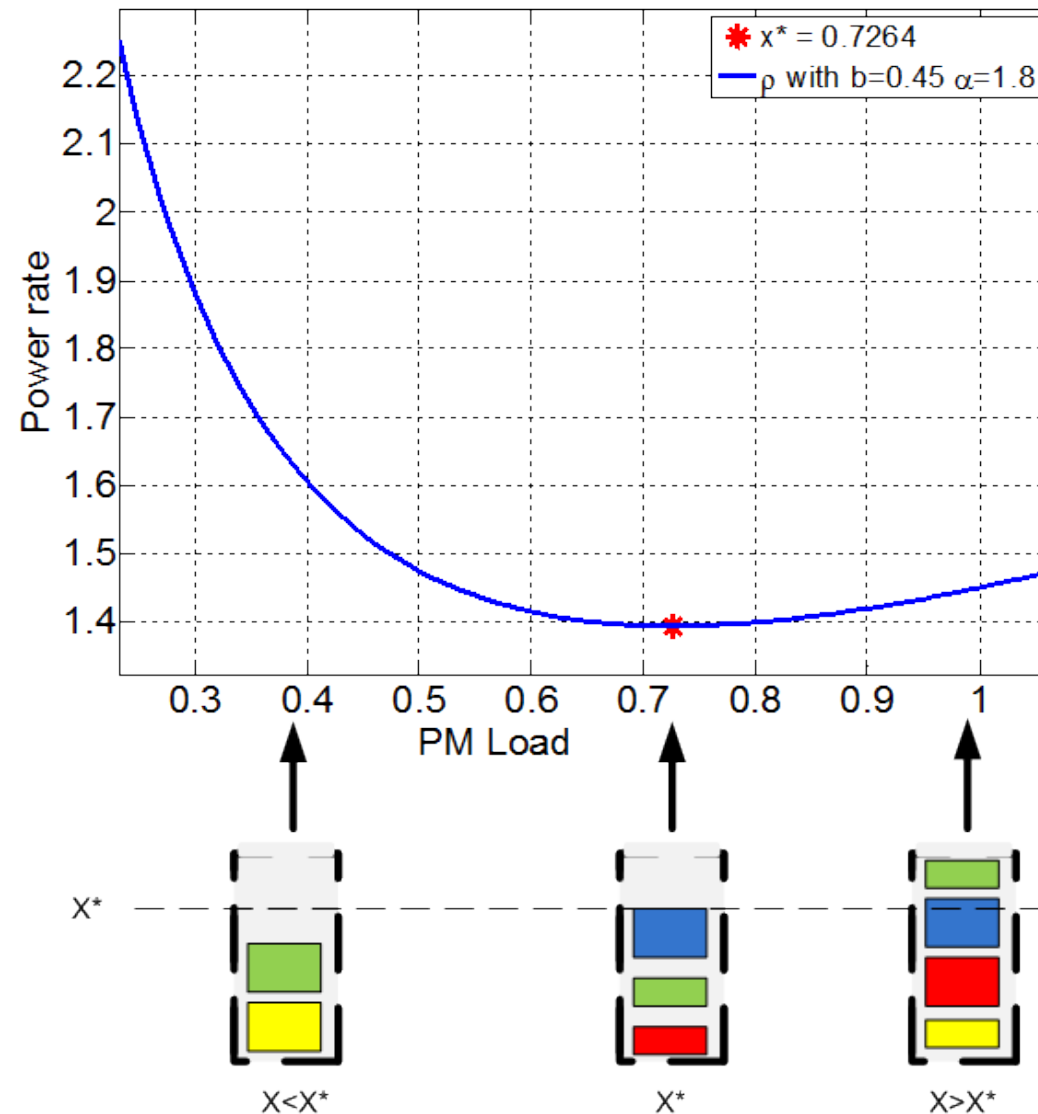
$$x^* = (b/(\alpha - 1))^{1/\alpha} \rightarrow \rho^* = f(x^*)/x^*$$

Lemma 1 *Given an instance of the VMA problem with a set of VMs $D = \{d_1, \dots, d_n\}$, any solution $\pi = \{A_1, \dots, A_m\}$ where $\sum_{d \in A_i} d \neq x^*$ for some $i \in [1, m]$, satisfies*

$$P(\pi) > \rho^* l(D) = \rho^* \sum_{d \in D} l(d).$$

Understanding the Optimal Load

Observation 1 The optimal load is $x^* = (b/(\alpha - 1))^{1/\alpha}$. Additionally, for any $x \neq x^*$, $f(x)/x > \varphi^*$.



Contributions

		(C,m)-VMA	(C,·)-VMA	(·,m)-VMA	(·,·)-VMA	(·,2)-VMA
NP-Completeness (decision prob.)		X				
NP-Hardness			X	X	X	
Offline UB	$x^* \geq C$		X	PTAS	PTAS	
	$x^* < C$		X			
Offline LB	$x^* \geq C$		X			
	$x^* < C$		X			
Online UB	$x^* \geq C$		X	N/A	N/A	N/A
	$x^* < C$		X	X	X	X
Online LB	$x^* \geq C$	X	X	N/A	N/A	N/A
	$x^* < C$	X	X	X	X	X

Contributions

15-07-2014

VMA subprob.	$x^* < C$	$x^* \geq C$
(C, \cdot) offline	$\rho \geq \frac{3}{2} \frac{\alpha-1+(2/3)^\alpha}{\alpha}$	$\rho \geq \frac{11}{9}$
	$\rho < \frac{\bar{m}}{m^*} \left(1 + \epsilon + \frac{1}{\alpha-1} + \frac{1}{\bar{m}}\right)$	$\rho < \frac{\bar{m}}{m^*} \left(\frac{3}{2} + \epsilon + \frac{1}{\bar{m}}\right)$
(C, \cdot) online	$\rho \geq \frac{(3/2)2^\alpha - 1}{2^\alpha - 1}$	$\rho \geq \frac{11}{7}$
	$\rho = 1$ if $D_s = \emptyset$, else $\rho \leq \left(1 - \frac{1}{\alpha} \left(1 - \frac{1}{2^\alpha}\right)\right) \left(2 + \frac{x^*}{\ell(D_s)}\right)$	$\rho \leq \frac{17}{12} \left(1 + \frac{1}{2\ell(D_s)}\right)$
(C, m) online	$\rho \geq \frac{(3/2)2^\alpha - 1}{2^\alpha - 1}$	$\rho \geq \frac{11}{7}$
(\cdot, \cdot) online	$\rho \geq \frac{(3/2)2^\alpha - 1}{2^\alpha - 1}$	$\rho \geq \frac{11}{7}$
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(\cdot, m) online	$\rho \geq \max\left\{\frac{(3/2)2^\alpha - 1}{2^\alpha - 1}, \frac{3^\alpha}{2^{\alpha+2} + \epsilon}\right\}$	$\rho \geq \frac{11}{7}$
	$\rho \leq O(\alpha)^\alpha \ln [?]$	
$(\cdot, 2)$ online	$\rho \geq \max\left\{\frac{3^\alpha}{2^{\alpha+1}}, \frac{(3/2)2^\alpha - 1}{2^\alpha - 1}, \frac{3^\alpha}{2^{\alpha+2} + \epsilon}\right\}$	$\rho \geq \frac{11}{7}$
	$\rho = 1$ if $\ell(D) \leq \sqrt[\alpha]{b/(2^\alpha - 2)}$, else $\rho \leq \max\left\{2, \left(\frac{3}{2}\right)^{\alpha-1}\right\}$	$\rho \leq \frac{9}{4}$

Table 1: Exact values correspond to $\alpha = 3$, $b = 2$, and $C = 2$ on the left and $C = 1$ on the right.

Offline Problems

- The decision version of (C,m) -VMA is NP-complete
 - Directly from 3-partition
- (C,\cdot) -VMA, (\cdot,m) -VMA and (\cdot,\cdot) -VMA are strongly NP-hard
 - Reduction from 3-partition:
 - VMA instance with m PMs, $3m$ VMs and $m \cdot x^*$ total load.
 - Assign 3 VMs to each PM such that the total load in each PM is x^*
- (C,\cdot) -VMA, (\cdot,m) -VMA and (\cdot,\cdot) -VMA have no FPTAS (Fully Polynomial-Time Approximation Scheme)
- There exists a PTAS for (\cdot,\cdot) -VMA and (\cdot,m) -VMA
 - Directly from [Epstein2004]

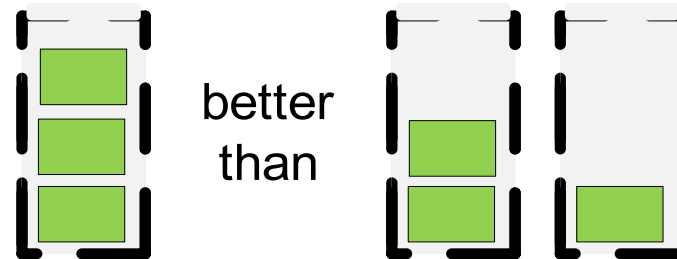
Online Problem: Lower Bounds

Theorem 6 *There exists an instance of problems (\cdot, \cdot) -VMA, (\cdot, m) -VMA, (C, \cdot) -VMA and (C, m) -VMA when $C > x^*$, such that no online algorithm can guarantee a competitive ratio smaller than $\frac{(3/2)2^\alpha - 1}{2^\alpha - 1}$.*

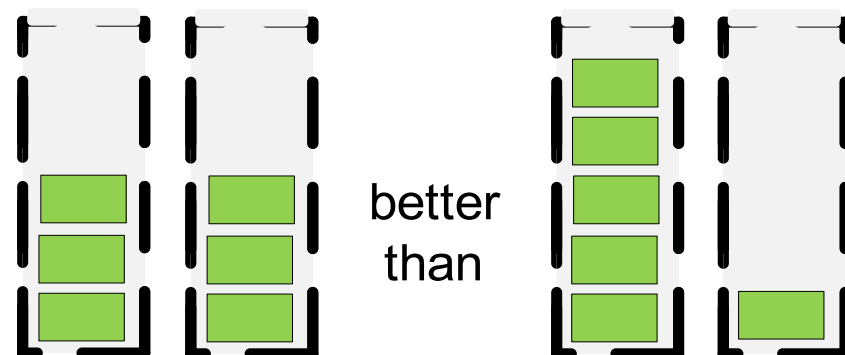
• Intuition

- Assume we have VMs of size ϵx^*
- An adversary starts injecting VMs, which are placed in the first PM, until the algorithm opens a second PM with the k th VM.

- If $k \leq \frac{1}{\epsilon} \left(\frac{\alpha - 1}{1 - 2^{1-\alpha}} \right)^{1/\alpha}$, then



- If $k > \frac{1}{\epsilon} \left(\frac{\alpha - 1}{1 - 2^{1-\alpha}} \right)^{1/\alpha}$, then



Online Problem: Upper Bounds

Algorithm 1: Online algorithm for (\cdot, \cdot) -VMA and (C, \cdot) -VMA problems.

```

for each VM  $d_i$  do
    if  $\ell(d_i) > \frac{\min\{x^*, C\}}{2}$  then
        |  $d_i$  is assigned to a new PM
    else
        |  $d_i$  is assigned to any loaded PM  $s_j$  where  $\ell(A_j) \leq \frac{\min\{x^*, C\}}{2}$ . If
        | such loaded PM does not exist,  $d_i$  is assigned to a new PM
  
```

Theorem 10 *There exists an online algorithm for (\cdot, \cdot) -VMA and (C, \cdot) -VMA when $x^* < C$ that achieves the following competitive ratio:*

$$\rho = 1, \text{ if no VM } d_i \text{ has load such that } \ell(d_i) < x^*,$$

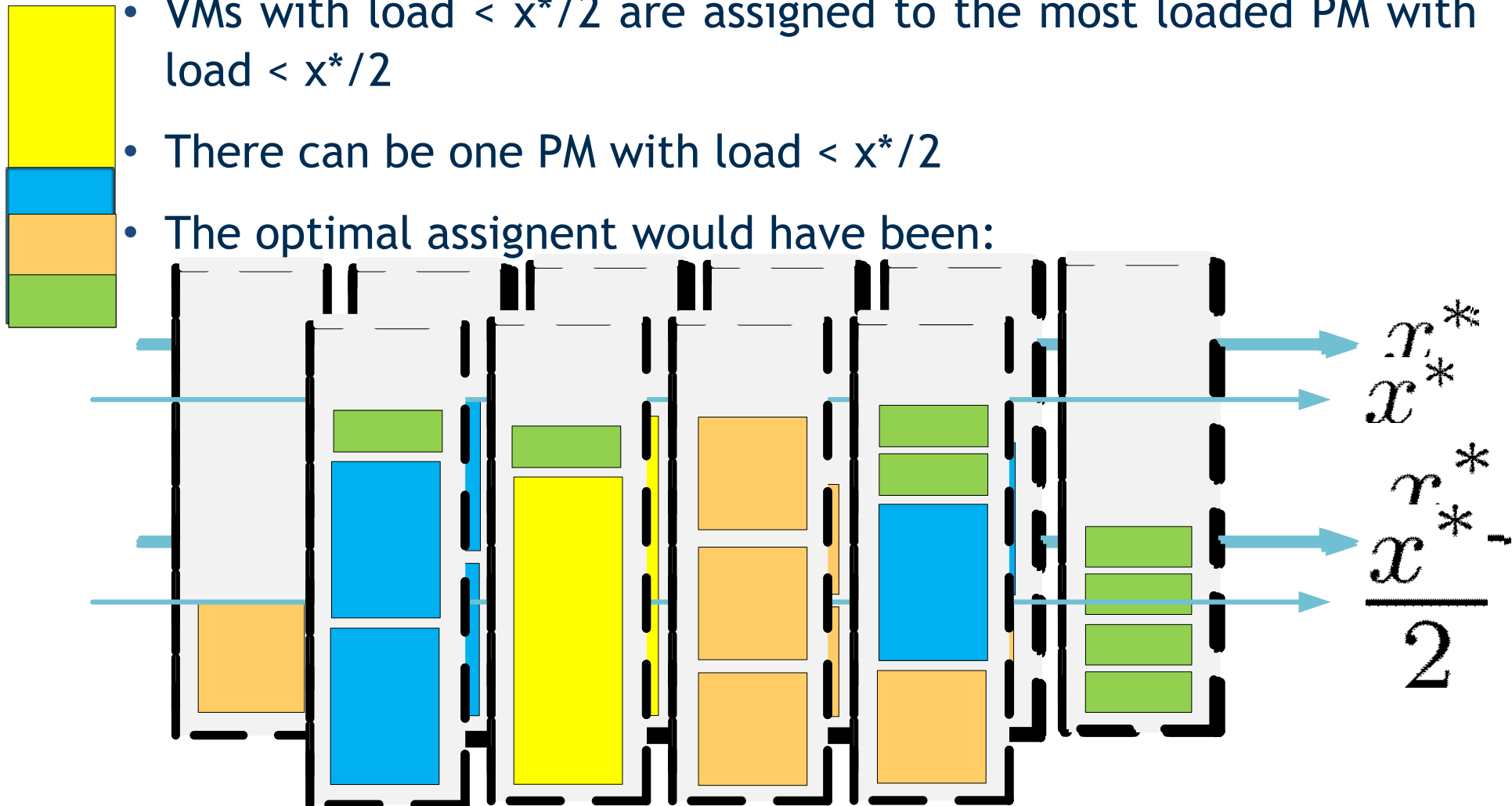
$$\rho \leq \left(1 - \frac{1}{\alpha} \left(1 - \frac{1}{2^\alpha}\right)\right) \left(2 + \frac{x^*}{\ell(D_s)}\right), \text{ otherwise.}$$

Theorem 11 *There exists an online algorithm for (C, \cdot) -VMA when $x^* \geq C$ that achieves competitive ratio $\rho \leq \frac{2b}{C} \left(1 + \frac{1}{(\alpha-1)2^\alpha}\right) \left(2 + \frac{C}{\ell(D)}\right)$.*

Online Problem: Upper Bounds

• Intuition

- VMs of load larger than $x^*/2$ go alone in one PM
- VMs with load $< x^*/2$ are assigned to the most loaded PM with load $< x^*/2$
- There can be one PM with load $< x^*/2$
- The optimal assignment would have been:



Conclusions

- It is possible to obtain bounds for multiple versions of the VMA problem
- Many variants to be studied
 - Heterogeneity of servers
 - Migration of VM between PMs at a cost
 - VM that arrive and depart
 - VM that change their load over time
 - Multi-resource scheduling, where the load is not only given by CPU load

Thank you!!
Questions?

Preliminary Claims

Lemma 1 Consider two solutions $\pi = \{A_1, \dots, A_m\}$ and $\pi' = \{A'_1, \dots, A'_m\}$ of an instance of the VMA problem, such that for some $x, y \in [1, m]$ it holds that: $A_x \neq \emptyset$ and $A_y \neq \emptyset$; $A'_x = A_x \cup A_y$, $A'_y = \emptyset$, and $A_i = A'_i$, for all $i \neq x$ and $i \neq y$; and $l(A_x) + l(A_y) \leq \min\{x^*, C\}$. Then, $P(\pi') < P(\pi)$.

- **Intuition:**

- Depending on their load, it might be better to put VMs in the same (different) PM rather than combining (dissociating) them.

Lemma 2 Consider two solutions $\pi = \{A_1, \dots, A_m\}$ and $\pi' = \{A'_1, \dots, A'_m\}$ of an instance of the VMA problem, such that for some $x, y \in [1, m]$ it holds that: $A_x \cup A_y = A'_x \cup A'_y$, while $A_i = A'_i$, for all $x \neq i \neq y$; none of A_x , A_y , A'_x , and A'_y is empty; and $|\ell(A_x) - \ell(A_y)| < |\ell(A'_x) - \ell(A'_y)|$. Then, $P(\pi) < P(\pi')$.

- **Intuition**

- PMs with unbalanced load consume more energy than PMs with the same aggregated load evenly distributed among them.

Corollary 1 (short) "...power consumption is lower bounded by the power of the (maybe unfeasible) solution that balances the load evenly, i.e., $P(\pi) \geq kb + k(L/k)^\alpha \dots$ "

Offline Problem

• Bounds on the approximability of (C, \cdot) -VMA

Theorem 3 *No algorithm achieves an approximation ratio smaller than $\frac{3}{2} \cdot \frac{\alpha - 1 + (\frac{2}{3})^\alpha}{\alpha}$ for the (C, \cdot) -VMA problem unless $P = NP$.*

Theorem 4 *For every $\epsilon > 0$, there exists an approximation algorithm for the (C, \cdot) -VMA problem when $x^* \geq C$ that achieves an approximation ratio of $\rho < 1 + \epsilon + \frac{C^\alpha}{b} + \frac{1}{\bar{m}}$, where \bar{m} is the minimum number of PMs required to allocate all the VMs.*

Theorem 5 *For every $\epsilon > 0$, there exists an approximation algorithm for the (C, \cdot) -VMA problem when $x^* < C$ that achieves an approximation ratio of $\rho < \frac{\bar{m}}{m^*} \left((1 + \epsilon) + \frac{1}{\alpha - 1} \right) + \frac{1}{m^*}$, where m^* is the number of PMs used by the optimal solution of (C, \cdot) -VMA, and \bar{m} is the minimum number of PMs required to allocate all the VMs without exceeding load x^* (i.e., the optimal solution of the bin packing problem).*

• Intuitions

- LB: Based on the partition problem
- UB when $x^* \geq C$: Optimal solution is lower bounded by a evenly balanced load among the optimal number of PMs (optimal bin packing solution)
- UB when $x^* < C$: Optimal number of PMs considering Bin Packing with bins of size x^*

Online Problem: Lower Bounds

Theorem 7 *There exists an instance of problems (C, \cdot) -VMA and (C, m) -VMA when $C \leq x^*$ such that no online algorithm can guarantee a competitive ratio smaller than $(C^\alpha + 2b)/(b + \max(C^\alpha, 2(C/2)^\alpha + b))$.*

- **Intuition**

- Consider the same adversarial injection strategy with VMs of size ϵC
- This time, the thresholds for k and the resulting ratios are:

$$k \leq \frac{1}{\epsilon} \rightarrow \rho(k) \geq \frac{C^\alpha + 2b}{C^\alpha + b} \geq 2 - \frac{1}{\alpha}$$

$$k > \frac{1}{\epsilon} \rightarrow \rho(k) = \frac{C^\alpha + 2b}{2\left(\frac{C}{2}\right)^\alpha + 2b}$$

- Which, combined, throw the result from Theorem 7.

Online Problem: Lower Bounds

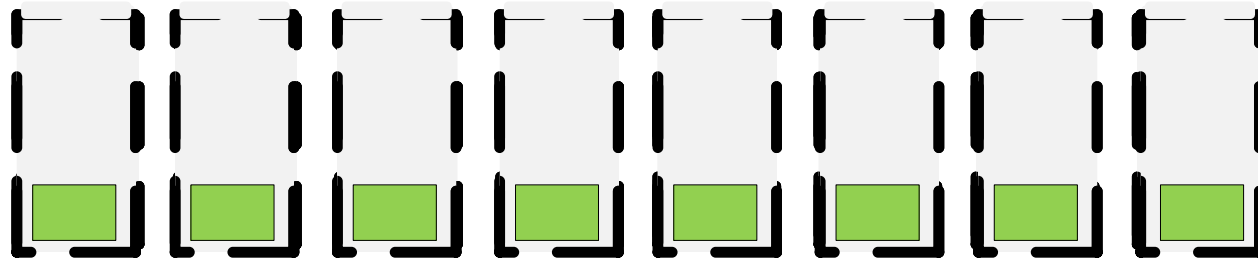
Theorem 8 *There exists an instance of problem (\cdot, m) -VMA such that no online algorithm can guarantee a competitive ratio smaller than $3^\alpha / (2^{\alpha+2} + \epsilon)$ for any $\epsilon > 0$.*

- Intuition**

Input m VMs of load βx^*

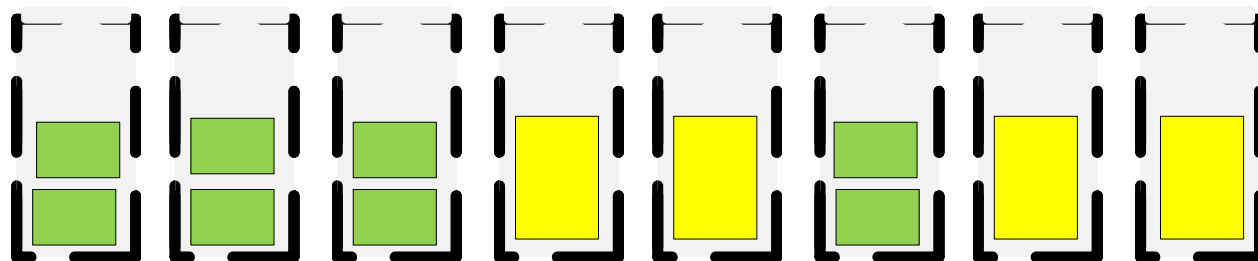
βx^*

If $\frac{3m}{4}$ or less PMs are used then $p \geq 2^{\alpha - (\alpha + \frac{1}{4})} / \beta^\alpha$



else: we input another set of $\frac{m}{2}$ VMs of size $2\beta x^*$

$2\beta x^*$



Online Problem: Lower Bounds

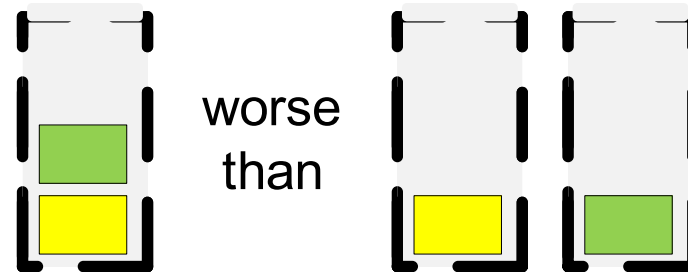
Theorem 9 *There exists an instance of problem $(\cdot, 2)$ -VMA such that no online algorithm can guarantee a competitive ratio smaller than $3^\alpha/2^{\alpha+1}$.*

• Intuition

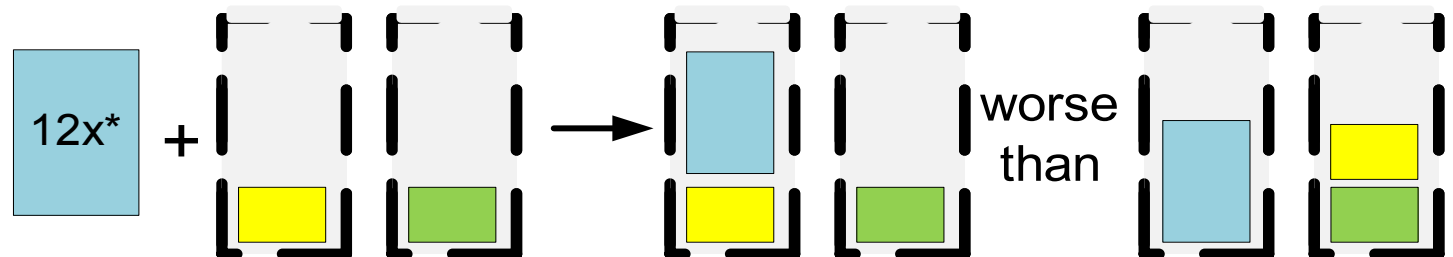
Input 2 VMs of size $6x^*$



If they are assigned to the same server, we stop



Else, we input a $12x^*$ load VM



Online Problem: Upper Bounds

Algorithm 2: Online algorithm for $(\cdot, 2)$ -VMA.

```

for each VM  $d_i$  do
    if  $l(d_i) + l(A_1) \leq (b/(2^\alpha - 2))^{1/\alpha}$  or  $l(A_1) \leq l(A_2)$  then
         $d_i$  is assigned to  $s_1$ 
    else
         $d_i$  is assigned to  $s_2$ 
  
```

Theorem 12 *There exists an online algorithm for $(\cdot, 2)$ -VMA that achieves the following competitive ratios.*

$$\rho = 1, \quad \text{for } l(D) \leq \left(\frac{b}{2^\alpha - 2} \right)^{1/\alpha},$$

$$\rho \leq \max \left\{ 2, \left(\frac{3}{2} \right)^{\alpha-1} \right\}, \quad \text{for } l(D) > \left(\frac{b}{2^\alpha - 2} \right)^{1/\alpha}.$$

Online Problem: Upper Bounds

- Intuition

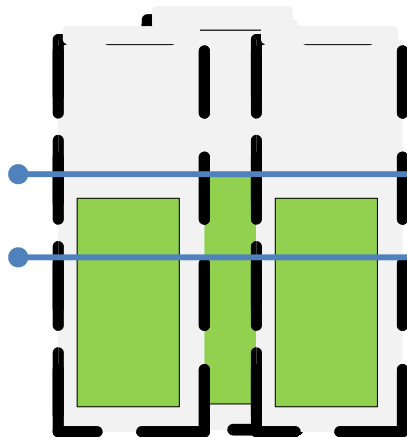
- There are 3 phases

$$1) \quad L \leq \left(\frac{b}{2^\alpha - 2} \right)^{\frac{1}{\alpha}} \quad L \rightarrow \text{Total Load}$$

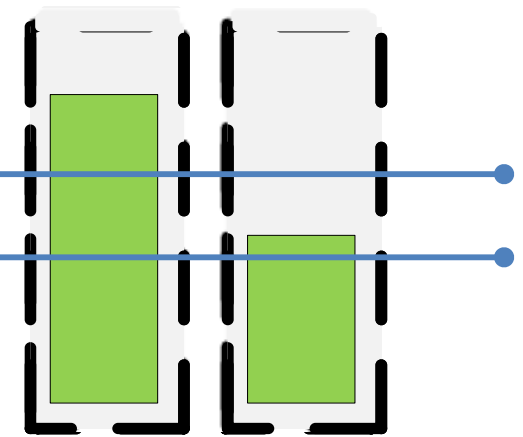
$$2) \quad \left(\frac{b}{2^\alpha - 2} \right)^{\frac{1}{\alpha}} < L < 2 \left(\frac{b}{2^\alpha - 2} \right)^{\frac{1}{\alpha}}$$

$$3) \quad L \geq 2 \left(\frac{b}{2^\alpha - 2} \right)^{\frac{1}{\alpha}}$$

Optimal



Algorithm 1



$$\left(\frac{b}{2^\alpha - 2} \right)^{\frac{1}{\alpha}} \quad 2 \left(\frac{b}{2^\alpha - 2} \right)^{\frac{1}{\alpha}}$$